

## Contractor Compliance Background Certification Form

Southern Company is committed to conducting its business in accordance with high ethical standards and in compliance with all laws and regulations. We also expect our contractors to conduct themselves with honesty, integrity and fairness and with a commitment to legal compliance. Our compliance program requires that this Contractor Compliance Background Certification Form be completed and signed by an authorized representative of your company. For purposes of this form, “Southern Company” refers to Southern Company and any or all of its subsidiaries. The term “affiliated entity” refers only to those affiliated entities that may be a possible supplier of services or goods with Southern Company. Thank you for your cooperation and support.

1. At any time during the past five years has your company or an affiliated entity been the subject of an enforcement action or investigation (criminal, civil or administrative) that has resulted, or could result in a fine or penalty in excess of \$25,000 by a government agency focusing on the company’s compliance with laws or regulations including, but not limited to, employment, environmental, safety and health, antitrust, fraud and/or securities?  
 Yes  No. *If yes, please describe on a separate page and attach.*
2. At any time during the past five years has your company or an affiliated entity or any of their principals, been debarred, suspended, or proposed for debarment from doing business with the Federal Government, or otherwise been listed on the General Services Administration’s Excluded Parties List System (EPLS) which is now maintained in the System for Award Management (SAM.gov)?  
 Yes  No. *If yes, please describe on a separate page and attach.*
3. At any time during the past five years has your company or any affiliated entity, or their officers, principals or key employees been charged, indicted, arrested, convicted or entered a plea for the alleged commission of a crime, related to your company’s business, other than a traffic infraction?  
 Yes  No. *If yes, please describe on a separate page and attach.*
4. Do any of your products or materials contain “Conflict Minerals” which originated from the Democratic Republic of Congo and neighboring countries (“Covered Countries”)?  
 Yes  No. *If yes, please describe on a separate page and attach.*
5. At any time in the past five years has your Company or an affiliated entity or any of their principals been the subject of an enforcement action or investigation (criminal, civil or administrative) involving a failure to comply with all applicable immigration laws and regulations? Are you required by law to confirm the legal status of your employees using the U.S. Citizenship and Immigration Services’ (USCIS) E-Verify program and have failed to participate?  
 Yes  No. *If yes, please describe on a separate page and attach.*
6. Does your company use any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system, as such terms are defined at 48 C.F.R. § 4.2101? For purposes of this question, “covered telecommunications equipment” includes (1) Telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities); (2) video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities); (3) telecommunications or video surveillance services provided by such entities or using such equipment.; and (4) telecommunications or video surveillance equipment or services provided by an entity that the Secretary of Defense reasonably believes to be owned, controlled or connected to the government of a covered foreign country.  
 Yes  No. *If yes, please describe on a separate page and attach.*
7. Does your company acquire, import, transfer, or install Bulk Power System Regulated Equipment, as such term is defined in the United States Department of Energy, Prohibition Order Securing Critical Defense Facilities effective January 16, 2021, that has been manufactured or supplied by persons owned by, controlled by, or subject to the jurisdiction or direction of the People’s Republic of China? For purposes of this question, “Regulated Equipment” includes (1) power transformers with low-side voltage rating of 69 thousand volts (kV) or higher and associated control and protection systems like load tap changer, cooling system, and Sudden Pressure relay; (2) generator step up (GSU) transformers with high-side voltage rating of 69 kV or higher and associated control and protection systems like load tap changer, cooling system, and Sudden Pressure relay; (3) circuit breakers operating at 69 kV or higher; (4) reactive power equipment (Reactors and Capacitors) 69 kV or higher; and (5) associated software and firmware installed in any equipment or used in the operation of items listed in 1 through 4.  
 Yes  No. *If yes, please describe on a separate page and attach.*

8. If your company has a formal legal or ethics compliance program to assure that your employees adhere to applicable legal and regulatory requirements in the performance of their work, please describe on a separate page and attach.

If there is an occurrence of any of the types of circumstances described in items 1 through 5 subsequent to the date below, Contractor agrees to notify Southern Company's Compliance Office ([complianceprogram@southernco.com](mailto:complianceprogram@southernco.com)) within ten (10) days of the occurrence. Contractor hereby certifies and acknowledges that the above responses are true, accurate, and complete and are material representations of fact upon which Southern Company will rely in consideration of Contractor as a possible supplier of services or goods. Southern Company may at any time before or after the award of a contract obtain investigative or credit reports that contain information about Contractor. These reports may contain: information found in public records, Contractor's commercial credit history, information about Contractor's general reputation in the industry (including with its current and past customers), and other types of information. Contractor consents to Southern Company (or a designated third party representative) obtaining such reports and hereby waives any claims against Southern Company (or its third party representative) if Southern Company refuses or ceases to do business with Contractor based on any information contained in these reports.

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Contractor's Name

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Contractor's Authorized Representative (Print Name)

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P. O. Box or Street Address

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Title

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City, State and Zip Code

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Signature

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Date

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Telephone No.

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Contractor's Federal Tax ID #